



About:

- Born Dec 10, 1970
- Swiss Citizen
- Married
- 1 Son

Contact:

Palpro GmbH
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Education:

- Eidg. Dipl. Ing. ETH (1996)
- Management Accounting
- Financial Accounting
- Artificial Intelligence
- CISA

Languages:

- German (Native)
- English (C1)
- French (C2)

Core Competencies

- Program- and project-management
- Taskforces, Restructuring, Review and Optimizations
- Manage complex projects
- Work out and implement tailored solutions and approaches
- Implementation- and Change-projects, Analysis, Decision-making
- Financial Services, New technologies

Experience

- Implementation of regulatory requirements in cross-border wealth management
- ICO-consulting and corporate services for blockchain-startups
- Implementation of regulatory requirements for OTC derivatives processing
- Global transformation program of a leading wealth manager
- Entity-Split/Unmerger «Too Big to Fail» of a large bank
- Integration of new products and services for a leading wealth manager
- Implement a new platform for an asset manager
- Management consulting opportunity- and risk-management
- Team lead internal audit in a cantonal bank
- E-Business infrastructure outsourcing
- Replacement of banking platforms for universal banks

Personality

- **Change-person with strong business acumen:** Manage complex build-up/change/restructuring exercises, with a continuous and strong focus on overarching goals/benefits
- **Fit for service:** Vast experience from former engagements, quickly get up-to-speed and acquire relevant knowledge to perform the job
- **Complexity-Handler:** Structured and efficient approach to get overall overview, strong analytical skills to identify root causes/root drivers and identify the most important actions on the critical path
- **Trust-Builder:** Ability to communicate with stakeholders and experts across different organizations, business functions and levels, able to understand and address specific motivation factors. Get the team aligned and build momentum.
- **Team Lead:** Build/manage the team through clear roles and responsibilities, common targets and continuous alignment
- **Integrator:** Use available resources and apply existing approaches/methodologies whenever possible, bring in optimizations as required, complement further where necessary
- **Result matters:** Take solid decisions and deliver
- **Learning from each other:** Watch and learn, help improve

- 07/2019-06/2020** **Implementation of regulatory requirements in cross-border wealth management (UBS, Zürich)**
- Project-restructuring and management of various task forces over 10 months to assure on-time delivery of two regulatory milestones in context of wealth-management cross-border advisory-processes
 - Enhancements to advisory and operational procedures, client information, IT solutions
 - Manage and align particular interests of the different key stakeholders in markets, legal, compliance, business risk, IT and project sponsor
 - Project management, business analysis/-documentation, concept/design/approval, test and sign-off, process-design/user training/hand-over
- 12/2017-01/2019** **ICO-consulting and corporate services for blockchain-startups (Codex Execution, Zug)**
- Domiciliation of blockchain companies: foundation, legal/tax/compliance clarifications, set-up and provision of corporate services, banking relationships/bank account, recruiting of local employees, work visas
 - ICO-Consulting, based on self-developed consulting framework with end-to-end view across relevant topics such as business plan/business case, legal/tax/compliance, tokenomics, marketing, banking, ICO execution, communications, token management
 - Market development: acquire and deliver client projects
 - Project manager for complex client projects with focus on restructuring, crypto-accounting, legal and compliance challenges
- 02/2016-12/2017** **Implementation of regulatory requirements for OTC derivatives processing (UBS, Zürich)**
- Analysis of regulatory requirements and gap analysis
 - Work out target operating model for operations, finance, risk, IT
 - Project manager credit risk, responsible for implementation in the divisions Wealth Management, Investment Banking, Asset Management, leading teams across 5 locations
- 06/2015-02/2016** **Global transformation program of a leading wealth manager (Julius Baer, Zürich)**
- Coordinate alignment of requirements and project execution between the «Products&Services» division and the responsible program
 - Assure key interests of «Products&Services» division are considered and expected benefit is delivered
 - Continuous observation of program's 20 projects, issue-/risk-/opportunity monitoring and communication to stakeholders
- 10/2014-06/2015** **Entity-Split/Unmerger «Too Big to Fail» of a large bank (UBS, Zürich)**
- Transition manager: conceptualize, design and build-up approach to conduct the legal and operational split of UBS into UBS AG and UBS Switzerland AG
 - Risk- and Readiness Manager: Manage transition readiness, conduct risk-assessments with focus on switch-over week-end and Day 1

**10/2012-
08/2014**

Integration of new products and services for a leading wealth manager (Julius Baer, Zürich und London)

- Enhance the bank's products and services, procedures and operations, IT systems to enable onboarding and servicing UK-specific offerings (ISA-Individual Savings Accounts and UK Investor VISA)
- Design and implement the required enhancements to offerings landscape, portfolio-management and advisory processes, suitability, MIS reporting, finance, risk, compliance
- Recovery of a (almost) failed project, stabilization, implementation of project governance/project organization with adequate reporting to re-establish trust and assure progress
- Manage the program consisting of the workstreams Outsourcing/Operations, Client contracts and Onboarding, Products&Services, Data migration, IT, Training, Testing, Go-Live and transition into operations across 4 locations and 3 organizations
- Evaluation and build-up of operations outsourcing for custody/processing of assets

**04/2009-
07/2012**

Implement a new platform for an asset manager (Swiss Life Asset Management, Zürich und München)

- Manage the projects Rollout, Data migration, Reporting, User Training, Test, Support across 3 releases for implementations in two countries
- Conceptualize, design, implement switch-over procedure and manage go-live phase until successful transition into standard operations

**10/2006-
today**

Consultant Opportunity- and Risk-Management (Palpro GmbH, Meierskappel)

- Conduct management workshop to identify the most important measures for optimizing mid-term profit
- Market development with focus on small- and medium-sized enterprises
- Analysen und Entscheidungsvorbereitungen

**06/2004-
09/2006**

Team lead Internal Audit (Luzerner Kantonalbank)

- Responsible for the bank's IT audit: risk-analysis, planning, audit and management reporting
- Manage selected business audits, e.g. lombard and client advisory process
- Conceptualize, implement and execute periodic audit review on the bank's largest project (new IT platform and outsourcing)

12/1996 -
02/2004

Manager Consulting (Accenture AG, Zürich)

Selected Projects:

- *Application to manage employee share participations (UBS, Zurich)*
Manager of the sub-projects Test, User Training, Release Management, Business hand-over and post go-live support
February 2003-June 2003, Zurich
- Provider-selection and operations outsourcing for e-Business infrastructure (Swiss Re, Zürich)
Project Manager (Design, Implementation, Test, Go-Live) outsourcing of infrastructure for the company's 11 eBusiness applications
October 2000-December 2001, Zurich and London
- Replacement of banking platforms for universal banks (Basellandschaftliche Kantonalbank, Banque Cantonale de Fribourg, Bank Austria/Creditanstalt)
Team Lead Technology & Architecture
Test Manager
Coaching of line executives to help them support the project
December 1996-August 2000, Basel, Fribourg, Vienna